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18 **UNITED STATES DISTRICT COURT**
19 **SOUTHERN DISTRICT OF CALIFORNIA**

20 AMERIPRISE FINANCIAL
21 SERVICES, LLC,

22 Plaintiff,

23 v.

24 LPL FINANCIAL LLC,

25 Defendant.

Case No. 24-CV-01333-JO-MSB

NOTICE OF APPEAL

NOTICE OF APPEAL

Notice is hereby given that pursuant to 28 U.S.C. § 1291, 28 U.S.C. § 1292(a), and 9 U.S.C. § 16(a), Loyd Bouldin, Wade Cardon, Bryan Hutto, Joshua Lambert, Cody Mares, Martial Martinoni, Steven Schwartz, Samuel Sigler, Keith Smith, and Kevin Sullivan (the “Advisors”) appeal to the United States Court of Appeals for the Ninth Circuit from the Order Dismissing Motion to Intervene & Motion to Enforce Compliance with Stipulated Order (Doc. 92) dated July 18, 2025.

DATED: July 21, 2025

BARRASSO USDIN KUPPERMAN
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By: /s/ George C. Freeman, III
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REPRESENTATION STATEMENT

Pursuant to Circuit Rule 3-2, Appellants hereby identify the parties to this appeal and the names, addresses, telephone numbers, and email addresses of their respective counsel:

Appellants: Loyd Bouldin, Wade Cardon, Bryan Hutto, Joshua Lambert, Cody Mares, Martial Martinoni, Steven Schwartz, Samuel Sigler, Keith Smith, Kevin Sullivan

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