

## Top Teams In Banking

Please review the following information before continuing.

### Eligibility:

Top Banking Teams must represent regulated firms primarily focused on commercial or retail banking. Only one team may be submitted per institution (i.e. a holding company may submit a team representing either the holding company or a banking subsidiary, but not both). Only U.S. banks or the U.S. subsidiaries of foreign banks are eligible for the Top Teams in Banking award. This award recognizes work at the institution or company level, not within a specific business line or lending group; team members can, and should, reflect female participation in multiple areas of the enterprise, including business line and functional roles.

### Judging criteria:

Applications are evaluated by a selection committee made up of American Banker staff members.

In the Top Teams category, each bank is evaluated based on:

- The presence and influence of women in the senior ranks
- The performance of women-led business units
- Demonstrated commitment to and progress toward fostering diversity (and specifically female participation) in senior leadership and key P&L roles
- Improvements shown in the representation of women in the pipeline
- Programs, policies and practices that are effective in fostering success in the areas above

### Important:

Please note that we are not accepting printed nomination forms, though PDFs are provided here for your convenience. Only submissions collected through the online system will be considered.

### Deadline:

Team applications must be submitted by Tuesday, June 29 at 11:59 p.m. EST to be considered.

## Top Teams In Banking

### About the team:

(All contact information is for internal use only and will not be published. Fields marked with an \* are required.)

Institution: \_\_\_\_\_

Primary Contact: \_\_\_\_\_

Telephone Number of Primary Contact: \_\_\_\_\_

Email Address of Primary Contact: \_\_\_\_\_

Mailing Address for Primary Contact: \_\_\_\_\_

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### NOMINATED TEAM'S INSTITUTIONAL QUANTITATIVE PERFORMANCE: 2019 AND 2020

Please supply the following information for the nominated team's institution for the full years ending 12/31/2019 and 12/31/2020.

2019 Asset Size (millions of \$) \_\_\_\_\_ 2020 Asset Size (millions of \$) \_\_\_\_\_

2019 Return on Equity (%) \_\_\_\_\_ 2020 Return on Equity (%) \_\_\_\_\_

2019 Return on Assets (%) \_\_\_\_\_ 2020 Return on Assets (%) \_\_\_\_\_

2019 Net Interest Margin (%) \_\_\_\_\_ 2020 Net Interest Margin (%) \_\_\_\_\_

2019 EPS (\$) \_\_\_\_\_ 2020 EPS (\$) \_\_\_\_\_

**Check here to authorize American Banker to publish the above information.**

- Public information (no authorization necessary)
- Not public information, I give American Banker permission to publish
- Not public information, I DO NOT give American Banker permission to publish

**Please provide any related notes here and, in addition, please supply links to the company's earnings releases for the two most recent quarters (the period ending 12/31/20 and the period ending 3/31/21):**

**NOMINATED TEAM'S LEADERSHIP PROFILE: 2019 AND 2020**

**Please supply the following information for the nominated team's institution for the full years ending 12/31/2019 and 12/31/2020.**

Number of Corporate Officers at Yearend 2019_____	Number of Corporate Officers at Yearend 2020 _____
Number of Female Corporate Officers at Yearend 2019_____	Number of Female Corporate Officers at Yearend 2020 _____
Number of Management/Operating Committee Members at Yearend 2019_____	Number of Management/Operating Committee Members at Yearend 2019_____
Number of Female Management/ Operating Committee Members at Yearend 2019_____	Number of Female Management/ Operating Committee Members at Yearend 2020 _____
Number of Board Members at Yearend 2019_____	Number of Board Members at Yearend 2020 _____
Number of Female Board Members at Yearend 2019_____	Number of Female Board Members at Yearend 2020 _____

**Please supply the following information for the nominated team's institution for the full years ending 12/31/2019 and 12/31/2020.**

Number of employees - FTE at Yearend 2019_____	Number of employees - FTE at Yearend 2020 _____
% of Female Employees Overall at Yearend 2019_____	% of Female Employees Overall at Yearend 2020 _____
% of Female Employees at Mid-management (per EEO-1) at Yearend 2019_____	% of Female Employees at Mid-management (per EEO-1) at Yearend 2020 _____
% of Female Employees at Senior Level (per EEO-1) at Yearend 2019_____	% of Female Employees at Senior Level (per EEO-1) at Yearend 2020 _____

**Please have one female employee share a brief story about her own experience at this institution during the past year.**

Is there a female employee at any level who had an interesting experience that would be worth highlighting here? This could be someone who is on the team being nominated or who reports to someone on the team being nominated. It could potentially be someone who would not be at the level of seniority you would normally include in a team nomination, but who stands out because of the extraordinary circumstances of the past year and the myriad challenges that resulted.

Ideally the experience she shares here will be one that helps illustrate how women at the institution are supportive or are supported, as seen through the lens of a specific example (rather than through broad generalization).

This should be written by the team member in her own voice, bearing in mind that it may be used in our coverage should the institution be selected. The more color and detail you can provide around this experience, the more helpful it can be.

(max. 2,000 characters)

Please enter the name and title of the person who answered this question.

## FROM THE C-SUITE

Please list any female C-level executives overseeing the entire institution, such as the CEO, CFO, CRO, etc., and share a few noteworthy business highlights for this individual from the past year. In this section, do not include executives overseeing particular business lines or divisions (for example, the CEO of the mortgage operation).

If you do not have any women in C-level roles overseeing the entire institution, please indicate so.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

When appointed to role: \_\_\_\_\_

2020 Business highlight(s) (max. 2,000 characters):

**Would you like to add another C-level executive?**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

When appointed to role: \_\_\_\_\_

2020 Business highlight(s) (max. 2,000 characters):

**Would you like to add another C-level executive?**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

When appointed to role: \_\_\_\_\_

2020 Business highlight(s) (max. 2,000 characters):

**Would you like to add another C-level executive?**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

When appointed to role: \_\_\_\_\_

2020 Business highlight(s) (max. 2,000 characters):

**Would you like to add another C-level executive?**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

When appointed to role: \_\_\_\_\_

2020 Business highlight(s) (max. 2,000 characters):

**Would you like to add another C-level executive?**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

When appointed to role: \_\_\_\_\_

2020 Business highlight(s) (max. 2,000 characters):

**FROM THE BUSINESS LINES:**

Please provide examples of UP TO 6 representative business lines or business units led by women, and provide the number of employees in each area; 2020 year-over-year financial performance for each where applicable; and a BRIEF narrative (no more than a paragraph) describing how the area contributed to the institution's performance or competitive standing, for example through improvements in market share, deposit growth, earnings growth, client relationships, etc.

(Note: In the next section, you will be able to describe functional roles or divisions held or led by women.)

Business Unit: \_\_\_\_\_

Name and title of business unit leader: \_\_\_\_\_

Contact info (email address): \_\_\_\_\_

Number of employees in this business unit: \_\_\_\_\_

You can provide the narrative here, along with metrics to quantify the performance of this business unit in 2020. Please include the year-over-year financial performance. Note if this is public information and, if not, whether it is OK to publish. (max: 2,000 characters):

**Would you like to add another business line?**

Business Unit: \_\_\_\_\_

Name and title of business unit leader: \_\_\_\_\_

Contact info (email address): \_\_\_\_\_

Number of employees in this business unit: \_\_\_\_\_

You can provide the narrative here, along with metrics to quantify the performance of this business unit in 2020. Please include the year-over-year financial performance. Note if this is public information and, if not, whether it is OK to publish. (max: 2,000 characters)

**Would you like to add another business line?**

Business Unit: \_\_\_\_\_

Name and title of business unit leader: \_\_\_\_\_

Contact info (email address): \_\_\_\_\_

Number of employees in this business unit: \_\_\_\_\_

**You can provide the narrative here, along with metrics to quantify the performance of this business unit in 2020. Please include the year-over-year financial performance. Note if this is public information and, if not, whether it is OK to publish.**

**(max: 2,000 characters)****Would you like to add another business line?**

Business Unit: \_\_\_\_\_

Name and title of business unit leader: \_\_\_\_\_

Contact info (email address): \_\_\_\_\_

Number of employees in this business unit: \_\_\_\_\_

**You can provide the narrative here, along with metrics to quantify the performance of this business unit in 2020. Please include the year-over-year financial performance. Note if this is public information and, if not, whether it is OK to publish.**

**(max: 2,000 characters)**



**Would you like to add another business line?**

Business Unit: \_\_\_\_\_

Name and title of business unit leader: \_\_\_\_\_

Contact info (email address): \_\_\_\_\_

Number of employees in this business unit: \_\_\_\_\_

**You can provide the narrative here, along with metrics to quantify the performance of this business unit in 2020. Please include the year-over- year financial performance. Note if this is public information and, if not, whether it is OK to publish. (max: 2,000 characters)**

**Would you like to add another business line?**

Business Unit: \_\_\_\_\_

Name and title of business unit leader: \_\_\_\_\_

Contact info (email address): \_\_\_\_\_

Number of employees in this business unit: \_\_\_\_\_

**You can provide the narrative here, along with metrics to quantify the performance of this business unit in 2020. Please include the year-over- year financial performance. Note if this is public information and, if not, whether it is OK to publish. (max: 2,000 characters)**

**FROM FUNCTIONAL AREAS OF THE ORGANIZATION:**

Please provide examples of UP TO 6 functional areas led by women and provide the number of employees in each area and a BRIEF narrative (no more than a paragraph) describing how the area contributed to improvements in the institution's expense management, personal management, technology systems or infrastructure, client services, compliance, governance, risk management, regulatory relationships, etc.

Functional Area or Division: \_\_\_\_\_

Name and title of business unit leader: Contact info (email address): \_\_\_\_\_

Number of employees in this functional area: \_\_\_\_\_

Brief summary (max. 2,000 characters):

**Would you like to add another functional area?**

Functional Area or Division: \_\_\_\_\_

Name and title of business unit leader: Contact info (email address): \_\_\_\_\_

Number of employees in this functional area: \_\_\_\_\_

Brief summary (max. 2,000 characters):

**Would you like to add another functional area?**

Functional Area or Division: \_\_\_\_\_

Name and title of business unit leader: Contact info (email address): \_\_\_\_\_

Number of employees in this functional area: \_\_\_\_\_

Brief summary (max. 2,000 characters):

**Would you like to add another functional area?**

Functional Area or Division: \_\_\_\_\_

Name and title of business unit leader: Contact info (email address): \_\_\_\_\_

Number of employees in this functional area: \_\_\_\_\_

Brief summary (max. 2,000 characters):

**Would you like to add another functional area?**

Functional Area or Division: \_\_\_\_\_

Name and title of business unit leader: Contact info (email address): \_\_\_\_\_

Number of employees in this functional area: \_\_\_\_\_

Brief summary (max. 2,000 characters):

**Would you like to add another functional area?**

Functional Area or Division: \_\_\_\_\_

Name and title of business unit leader: Contact info (email address): \_\_\_\_\_

Number of employees in this functional area: \_\_\_\_\_

Brief summary (max. 2,000 characters):

### OUTSTANDING IMPACT:

Elaborate on a strategic initiative, best practice or innovation that was implemented in the past year by a particular female executive or a women-led business unit. Include details that demonstrate its significant impact on the institution.

(max. 2,000 characters)

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### DIVERSITY INITIATIVES (Part 1):

Describe any noteworthy diversity and women's inclusion initiatives within the organization. Please elaborate on the activities conducted as part of each initiative in the past year. Be specific about the nature and intent of the initiatives, the frequency of events, as well as goals established and realized. Some questions to consider: Are any female leaders involved? What are the key factors in making the initiative successful?

(max. 2,000 characters)

### DIVERSITY INITIATIVES (Part 2):

Many organizations have adjusted their approach to achieving greater diversity in management and leadership positions over the past year, whether to strengthen their efforts, demonstrate renewed resolve or achieve their goals more quickly. Describe what, if anything, has changed in the past year about what your organization is doing on this front. What was the thinking about how the change(s) might help? (max. 1,500 characters)

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### (OPTIONAL) HR POLICIES:

Have the institution's benefits, policies or practices changed over the past year in a way that is expected to increase the number of women either being promoted into or hired for more senior roles? Please describe. (max. 2,000 characters)

Has your institution made any adjustments in its policies or practices over the past year relative to the issue of sexual harassment? (max. 2,000 characters)

## TEAM MEMBERS

Please attach a Word file with the names and titles of your team members, following the format illustrated below.

Team sizes can vary from institution to institution. We ask that you put forward a slate of women comprising top and rising female talent in your organization. They can come from any department or function within your institution, but they should be at the level of vice president or above. Space permitting, names of all members of teams selected to our ranking will be published in American Banker Magazine. Titles should include the line of business (i.e., SVP Consumer Banking). Your attached table should follow this format:

First Name:	Mary
Last Name:	Jones
Title:	EVP, Consumer Banking

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## TEAM PHOTOS

Please upload photos of members of the nominated team here. These should be individual head shots.

Each photo should be at least 2MB in size to be suitable for use in print. The name of each file should include the name of the woman in the photo (e.g., MarySmith.jpeg or Smith\_Mary.jpeg). Only .jpg, .png, or .gif formats are allowed.

Please upload a single .zip file with all submitted photo(s), rather than many separate files.

If your institution won a team award in 2020, please be mindful of sending a different headshot for any individuals whose photos have previously appeared in print in the Most Powerful Women issue.

The photos submitted may be used in conjunction with American Banker Magazine's coverage of the Most Powerful Women in Banking and Finance and will be kept on file for potential use in the future.